

~~Personalized functional profiling of using ex-vivo patient-derived spheroids points to-out~~
~~a the potential of an-antiangiogenic treatment for in-a patient with a metastatic atypical~~
~~lung atypical carcinoid tumors~~

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Running head: Personalized functional profiling of ex vivo spheroids

Presentation:

Disclaimers:

Ethical approval

The patients participants provided tumor samples following informed consent. All the
procedures performed were in accordance with the ethical standards of the institutional ethics

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~~committee and with the Helsinki Declaration of Helsinki and the institutional ethics committee.~~

Disclosure statement

No potential conflict of interest was reported by the authors.

Funding

This work was supported by the Luxembourg Institute of Health and the Ministry of Higher Education and Research ~~(MESR) funding, under the Personalized Functional Profiling (PFP)~~ program.

ABSTRACT:

~~Herein, we report on This study outlines the case of~~ a patient with a ~~a~~-metastatic lung atypical lung carcinoid tumor who presented with ~~a~~ pleural effusion and progression of liver metastases after developing resistance to conventional chemotherapy~~treatments~~. Personalized functional profiling (PFP), ~~i.e. drug screening,~~ was performed using liver metastasis-derived~~in ex-vivo spheroids obtained from the patient's liver metastasis to~~ identify potential therapeutic options. ~~The Drug screening results identified~~revealed cediranib, an antiangiogenic drug, as a hit drug ~~for this patient,~~ from a library of 66 Food and Drug Administration (FDA)-approved ~~and~~ investigational drugs. The patient ~~was administered a combination of bevacizumab and capecitabine on the B~~asedis on ~~the PFP results and considering the reported evidence of~~ clinical efficacy of this ~~bevacizumab and capecitabine~~ combination in treating gastro-intestinal neuroendocrine tumors, ~~this combination was given to the patient. After 4~~Four months ~~later,~~ the pleural effusion and pleural carcinosis regressed and there was no evidence of progression of ~~the liver metastasis~~is did not progress. The patient was stable for ~~experienced 2 years~~ after of a stable disease receiving under the PFP-guided personalized treatment~~therapy,~~ but ultimately died after acquiring resistance to the treatment and disease progression.

KEYWORDS: Personalized functional profiling; drug screening; pharmacotyping; personalized medicine; precision medicine; spheroids; neuroendocrine tumors; lung carcinoid tumor; antiangiogenic therapy

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I have followed this rule throughout the document, without including a separate comment at each instance to avoid inserting too many comments.

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Introduction

Lung ~~neuroendocrine tumors (NETs)~~ ~~neuroendocrine tumors~~ ~~account~~ ~~are for~~ approximately 20% of all lung cancers. They ~~are comprised of~~ four subtypes: typical carcinoids, atypical carcinoids, large-cell neuroendocrine carcinomas, and small cell lung carcinomas.¹

~~Carcinoids account for 1-2%~~ ~~One to two percent of lung cancers is carcinoids.~~² ~~Considerable advances have been made in~~ The treatment of ~~gastroenteropancreatic (GEP)-NETs~~ ~~has achieved considerable advances in~~ ~~recent~~ ~~the last~~ decades with the introduction of sunitinib, everolimus, somatostatin analogs, and peptide receptor radionuclide therapy (~~PRRT~~) (for somatostatin receptor-positive GEP-NETs) ~~in the therapeutic scheme.~~^{3,4} ~~The~~ ~~The~~ incidence of lung and ~~gastroenteropancreatic (GEP)-neuroendocrine tumors (NETs)~~ ~~has increased~~ significantly ~~risen~~ over the last 40 years ~~most~~ likely ~~due owing to~~ improved diagnosis.³ However, everolimus ~~remains is still~~ the only ~~treatment approved by the~~ ~~United States~~ ~~US~~ Food and Drug Administration (FDA) ~~approved drug treatment~~ for ~~patients with~~ lung NETs, ~~especially in particular those suffering from~~ advanced, progressive, nonfunctional lung pulmonary NETs. ~~Therefore, thus the need for~~ more treatment options ~~are needed in this indication.~~^{1,3,5,6}

~~A~~ ~~One of the~~ major challenges ~~in the management~~ ~~managing of~~ cancer in general, and lung NETs in particular, is ~~developing identifying~~ personalized treatment ~~strategies that allowing~~ ~~increase~~ patients' ~~chances~~ to benefit from anticancer therapy. ~~Managing~~ ~~The scarcity of~~ this rare type of lung tumor ~~requires calls for the~~ ~~involvement contribution of~~ a multidisciplinary ~~expert team in the management of the disease.~~² ~~The treatment of a m~~ ~~Metastatic~~ pulmonary lung carcinoid tumor ~~treatment~~ is ~~palliative rather than not expected to be~~ curative ~~and focuses on but~~ relieving the symptoms ~~caused by associated with~~ tumor growth ~~or and~~ hormone ~~replacement~~ ~~production.~~² ~~So far, Clinical trials~~ ~~ontackling the management~~

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Further reduction warrants extensive deletions that require your discretion. I would request you to go through the text and delete all details that you deem least important/inessential so that this limit can be met. I will be happy to check the revised text should you wish.

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~~of managing~~ advanced-stage pulmonary carcinoid ~~tumors are remained~~ limited,⁷ and
personalized drug screenings ~~(on patient's tumor material, i.e. functional tumor profiling or~~
pharmacotyping)^{8,9} ~~of patient-derived material is important for making appropriate~~ ~~are thus~~
~~highly encouraged to issue~~ treatment recommendations.

~~Herein~~, we report the case of a patient with a metastatic lung NET who underwent
personalized functional profiling (PFP) of his tumor and was treated based on ~~the~~ drug
screening results.

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Case presentation

A 52-year-old man was diagnosed with an atypical carcinoid of the lung (pT2 pN1 ~~(1/25)~~
G2, ~~10~~ mitoses/10 high-power fields ~~(HPF)~~, Ki-67 =15%) in June 2009. ~~RA~~ right lower
lobectomy and systematic lymphadenectomy were ~~then~~ performed. In May 2012, the
patient was admitted to Mannheim University Medical Center ~~for to undergo a~~ undergoing a
biopsy of ~~the~~ new lesions ~~detected on found in~~ surveillance imaging and ~~for~~
~~suspected suspicious of~~ disseminated osteoplastic bone metastases. ~~The~~
~~Immunohistochemical staining analysis~~ of bone ~~sections material~~ showed strong and
continuous expression of chromogranin A and weak, ~~but specifically~~ membrane-
~~specific bound~~ co-expression of CD56. ~~The~~ tumor cells ~~stained were~~ negative for cytokeratin 7
~~(CK-7)~~, cytokeratin 20 ~~(CK-20)~~, thyroid transcription factor-1 ~~(TTF-1)~~, napsin A, prostate
specific antigen ~~PSA~~, and prostate specific acid phosphatase expression ~~PSAP staining~~. ~~The~~
~~m~~ Mitotic count was 4 per 10 high-power field ~~HPFs~~. ~~T and~~ the Ki-67 index ranged
~~from between~~ 10% ~~to and~~ 15%. ~~The~~ diagnosis of ~~a~~ disseminated hepatic and bone
metastases ~~is~~ due to an the clinically known lung atypical carcinoid of the lung was made seen.
The patient was treated with capecitabine and temozolomide from June 2012 to January

2015.

~~Treatment Therapy~~ was ~~discontinued then interrupted due owing to a persistent~~ stable disease status. In November 2016, the disease progressed. ~~The e~~ Extensive ~~tumor bone marrow~~ infiltration of the ~~bone marrow tumor~~ precluded a peptide receptor radionuclide therapy (PRRT). In December 2016, the patient was ~~re~~ ~~treated exposed with to~~ capecitabine and temozolomide until ~~the~~ progression of ~~the~~ liver metastases in February 2017. Consequently, ~~treatment therapy~~ was switched to everolimus. ~~treatment Evidence~~ Evidence of pulmonary and hepatic ~~progression progressive disease appeared~~ in January 2018 ~~led leading~~ to discontinuation of everolimus.

The ethics committee of ~~X~~ was consulted before the patient was treated on ~~an individual a~~ single-case basis. The committee granted approval; ~~and~~ the patient ~~provided gave his~~ informed consent ~~prior to before~~ the intervention. In March 2018, a re-biopsy of the liver showed a progressive NET (G3, Ki-67 =18%). A sample was ~~sent transported~~ to Ksilink (Strasbourg, France) for ~~personalized functional profiling (PFP) (, i.e. drug screening of~~ tumor-derived spheroids; and identification of potential hit drugs)s. The ~~collected tumor~~ sample consisted of ~~four 4~~ core-needle biopsy specimensies ~~(corresponding to 125.5 mg in total; (a minimum of two 2 needle biopsy specimensies is generally commonly required for~~ spheroid generation).

~~Biopsy specimens~~ Briefly, the tumor biopsy ~~were was~~ mechanically and enzymatically dissociated ~~as follows: (The tumor wasy were washed rinsed~~ with cold ~~DMEM/F12 medium~~ supplemented with fetal bovine serum and antibiotics and minced into 1–3 mm³ fragments using sterile forceps and a scalpel. Tumor fragments were ~~washed rinsed and again then~~ digested in DMEM/F12 ~~medium~~ containing collagenase. The cells were seeded in complete StemProTM hESC SFM medium (Gibco) in ultra-low attachment ~~dishes, plates and incubated~~

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Commented [A25]: Please mention the concentrations and volumes used.

at 37°C in an atmosphere of 5% CO₂. ~~The e~~Cells were regularly ~~observed~~~~inspected~~ under a microscope to check for spheroid formation. ~~The s~~Spheroids were passaged every ~~few~~ days ~~via using a~~ mild enzymatic dissociation to avoid ~~the~~ accumulation ~~on~~~~ag~~ of dead cells in the center of the spheroid.

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~~The s~~Spheroid culture was ~~considered~~~~deemed~~ successful if ~~the three-dimensional~~ 3D entities ~~formed~~~~displayed~~ a ~~typical standard~~-rounded multicellular structure and ~~if they could outgrow~~ ~~propagated in culture~~ within a ~~few~~ days ~~in culture~~ and ~~on~~~~propagate after~~ passaging. Figure 1(a) shows bright-field images of ~~tumor~~~~patient~~-derived spheroids at ~~different~~~~variable~~ passages and days after plating. ~~Images were~~ ~~generated from~~ ~~three~~3 different tumor specimens ~~[as described above]~~. PFP was performed on short-term cultured spheroids ~~in~~ ~~order~~ to deliver drug screening results ~~back~~ to the clinician within ~~an~~ acceptable timeframes. ~~To do so, the s~~Spheroids were dissociated into single cells and small ~~cell~~-clusters and printed ~~in an~~~~with the~~ alginate matrix ~~of in~~ hanging drops onto 2 ~~mm~~-diameter pillars in a 384-pillar plate (with a technical duplicate); using ~~an~~~~the~~ ASFA Spotter ST (Medical & Bio Decision, Suwon, South Korea) ~~[Figure 1(b)]~~.

Commented [A27]: Four core-needle biopsy specimens were taken. Should this be "four" rather than "three different tumor specimens"? Please check this.

One day after ~~cell~~-printing, ~~the~~ cells were exposed to a library of 66 FDA-approved ~~and~~ investigational drugs in a ~~4~~~~four~~-fold ~~7~~~~and seven~~-point serial dilution ~~series~~ for ~~five~~5 days. Live cells were stained with calcein AM; ~~and~~ the plates were imaged using a high-throughput screening system. ~~Cells~~~~The cells~~ were scanned at 4~~x~~ magnification. ~~C~~~~and cell~~~~their~~ viability was ~~assessed~~~~quantified as by~~ the area of ~~the~~ calcein AM ~~live cell~~-staining and normalized to ~~the that of~~ DMSO-treated cells. For each drug, the half-maximal inhibitory concentration (IC₅₀) and ~~D~~~~dose~~~~response~~ ~~C~~~~curve~~ (~~DRC~~) were generated; ~~and the~~ ~~A~~~~area under the~~ ~~dose-response curve~~ ~~DRC~~ (AUC) was calculated. To identify personalized drug candidates, we compared the drug sensitivity profiles ~~of obtained from~~ the patient's tumor-~~derived~~ spheroids

with the pharmacological landscape of tumor-derived spheroids from 11 other patients with cancer patients' spheroids.

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Please consider mentioning whether these 11 other patients also provided consent.

The patients' clinicopathological characteristics~~features of the patients~~ are summarized in Table 1 (Patient 11 is the subject of this case report). A drug was considered ~~as a "hit" of interest for our patient~~ if the AUC-z-score of the area under the dose-response curve was less than -1 , indicating the inclusion of the patient's tumor-derived spheroids in the top 16% of the most sensitive spheroids to this drug. ~~Based on the dD~~Drug sensitivity analysis, revealed only cediranib ~~was selected as a hit drug~~ (z-score < -1) [(Figure 2(a))]. ~~Interestingly, our patient's tumor was the most resistant to everolimus (Figure 2(a)), consistent which is in line with the clinical evidence that of everolimus resistance manifested in this patient before collecting the tumor samplingsample used for drug screening [(Figure 2(a))]. The dose--response curves of the patient's derived spheroids treated with cediranib and everolimus showedshows a dose-dependent cytotoxic effect of cediranib but not of in these cells. In contrast, they were unresponsive to everolimus treatment [(Figure 2(b))]. Cediranib, is a multi-kinase vascular endothelial growth factor (VEGF) receptor (VEGFR) inhibitor, has shown that demonstrated promising results in preclinical trials but failed to meet its main goals in several clinical studies.¹⁰⁻¹² The Next-Generation Ssequencing assay (Illumina TruSight™ Tumor 170 gene panel–Illumina®) of the liver metastasismetastatic sample from March 2018 did not identify reveal any druggable targets. Therefore, PFP and functional-profiling remained the only option for improving the the disease outcome.~~

On the basis~~Therefore, based on the~~ personalized drug screening ~~results and taking into account~~ the clinical efficacy~~activity~~ and safety profile of the combination of bevacizumab (a VEGF blocker) and capecitabine ~~combination~~ in gastro-intestinal NETs ~~(in the BETTER trial),¹³ the iInstitutional tTumor Bboard recommended treatment with bevacizumab and~~

capecitabine and bevacizumab and. ~~T~~ treatment was initiated in May 2018, after evidence of pleural effusion and progression of liver metastases (Figure 3). ~~After 4~~ Four months later, the pleural effusion and pleural carcinosis regressed and the liver metastases remained stable (Figure 3). The patient maintained a stable disease for 2 years after receiving -under the PFP-guided personalized treatment therapy. In June 2020, 25 months after the commencement of bevacizumab and capecitabine/bevacizumab therapy started, the disease progressed implying acquired resistance and disease progression occurred to this combination and. ~~T~~ the patient died 6 months later.

Discussion

This case report highlights gives the importance of a personalized functional profiling (PFP) approach for personalized therapy medicine, especially when no other treatment option is available expected to generate a promising clinical response. Indeed, w With no druggable targets identified, as revealed by on the genome genomics sequencing, and with the development acquisition of secondary drug resistance to several therapies, the decision of to pursue pursuing PFP for drug recommendation, i.e. (-drug screening of the patient's tumor-derived spheroids generated from the patient biopsy, and identification of potential drug hit drugs) for drug recommendations allowed for -permitted the identification selection of an antiangiogenic drug as a potential therapy and confirmation of -confirmed the clinically observed resistance to previous treatment therapies. Accordingly, ~~T~~ the patient benefited from an additional two year period from a personalized therapy with stable disease status for an additional 2 years treatment based, in part, on our observation of thea significant activity efficacy of this class of drugs in an ex-vivo patient-derived spheroid model from the patient's tumor.

~~In cancer, angiogenesis, i.e. the formation of new and abnormal blood vessels,~~ is an important ~~for factor in~~ tumor growth and metastasis.¹⁴ The release of pro-angiogenic factors by cancer cells and ~~the~~ tumor microenvironment ~~promotes stimulates the migration, and proliferation, and vessel formation in~~ endothelial cells ~~and triggers vessel formation~~.¹⁵

~~Apart from angiogenesis, o~~Other mechanisms ~~contribute to account for~~ tumor vascularization, ~~especially in particular~~ vessel co-option (~~a process whereby tumor cancer cells incorporate and use preexisting vessels from the surrounding normal tissue instead of inducing new vessel growth~~) ~~to proliferate and spread,~~ and vascular mimicry (~~which is the acquisition by tumor cells of an endothelial-like phenotype by tumor cells, resulting in leading to vascular-like structures~~).^{15,16} ~~Previous studies have shown that~~ NETs are highly vascularized, suggesting the ~~possible potential~~ efficacy of antiangiogenic drugs in ~~treating these tumors~~ this indication.^{17,18} ~~Based on this rationale, T several clinical trials have been conducted to evaluate the efficacy activity of antiangiogenic drugs in treating advanced NETs has been evaluated.~~^{19–21} ~~This These investigations~~ led to the FDA approval of sunitinib ~~for treating in treating~~ progressive, well-differentiated pancreatic NETs ~~in for~~ patients with locally advanced or metastatic disease.²² ~~No Although no~~ antiangiogenic drugs have been granted FDA approval for ~~lung NETs to date. However, of pulmonary origin yet,~~ several clinical trials have ~~already demonstrated shown~~ the efficacy of antiangiogenic drugs, ~~in activity in this indication, as illustrated by the results of studies investigating including~~ surufatinib,²⁰ axitinib,²³ pazopanib,²⁴ and bevacizumab.²⁵ ~~for treating NETs, in NET.~~

Nevertheless, ~~there is no consensus on general~~ treatment recommendations ~~can be given at this stage~~ regarding ~~using~~ antiangiogenic drugs ~~for treating lung in~~ NETs ~~of pulmonary origin.~~

~~Resistance to Evasion of~~ anti-angiogenic therapy after ~~an~~ initial response phase has been reported in several metastatic cancers.^{26–28} In ~~thouris~~ case ~~report,~~ the patient ~~manifested acquired~~ resistance to the ~~combination of~~ capecitabine ~~and~~ bevacizumab

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combination after ~~two~~ years of treatment, ~~suggesting~~. This acquired resistance suggests the activation of adaptive and compensatory mechanisms, ~~(e.g., up-regulation of pro-angiogenic factors other than VEGF [a (target ofed by bevacizumab)], vascular co-option, and vascular mimicry).~~^{15,16} The cancer stem cell population may ~~also~~ be involved in ~~the secondary~~ drug resistance and tumor relapse, ~~as previously shown.~~^{29,30} Unfortunately, ~~the degradation deterioration~~ of the patient's health ~~precluded additional condition was not anymore compatible with a new~~ tumor sampling procedure, ~~and thus apreventing~~ follow-up PFP ~~could not be envisaged.~~

Among ~~the~~ three-dimensional ~~(3D)~~ models used in preclinical research, patient-derived xenografts ~~(PDX) models~~ have ~~contributed~~ significantly ~~contributed to the~~ ~~advancements in~~ ~~advancing~~ precision medicine and are ~~widely still largely~~ used in biomedical research.³¹ ~~Nevertheless~~ However, some limitations of these ~~three-dimensional~~ 3D preclinical models ~~exist are to be mentioned;~~ ~~the~~ The potential ~~possible~~ contribution ~~to the observed drug response~~ of factors inherent to the ~~animal~~ model itself ~~to the observed drug response.~~³² the lengthy ~~procedure (6- to 8- monthss)~~ procedure required for ~~the generation of~~ generating patient-derived xenograft ~~these PDX~~ models, which may not be compatible with the rapid progression of the disease,^{33,34} ~~and and~~ the limited number of protocols that can be ~~used tested.~~³¹

~~Contrary~~ Contrarily to patient-derived xenograft ~~a PDX~~ models, our PFP approach ~~(which exploited exploiting~~ the predictive potential of ~~an ex-vivo~~ patient-derived spheroid model)^{35,36} ~~could provided~~ treatment recommendations ~~within i2n less than two months~~, a clinically ~~still~~ acceptable timeframe for treatment decision-making ~~in for patients with~~ cancer patients. Importantly, our ~~cell~~ culture conditions supported the growth and propagation of stem cells, suggesting that at least a fraction of the ~~spheroid-forming~~ cells ~~are forming the~~

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~~spheroids is~~ cancer cells with stem-cell-like features that may contribute to ~~tumor~~ relapse ~~in the clinic~~. ~~In addition~~ Moreover, our animal-free approach is easily applicable in a hospital environment. ~~Such a P~~personalized ~~strategy is~~strategies are needed, highly required especially ~~for aggressive and in~~ multi-resistant ~~tumors that are~~, poorly ~~studied~~understood, ~~aggressive tumors~~, as is the case ~~of for this a~~ metastatic ~~lung~~ atypical ~~lung~~ carcinoid ~~tumor~~.

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The primary “take-away” lessons from this case report
(without references) in a one paragraph conclusion.

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Acknowledgments

We thank the patients ~~who participated for their participation~~ in this study and the ~~physicians~~clinicians for their ~~collaboration~~cooperation.

References

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Journal article with one, two, or three authors

1. Dolan ME, Pegg AE: O6-Benzylguanine and its role in chemotherapy. Clin Cancer Res 8:837-847, 1997

Journal article with more than three authors

2. Knox S, Hoppe RT, Maloney D, et al: Treatment of cutaneous T-cell lymphoma with chimeric anti-CD4 monoclonal antibody. Blood 87:893-899, 1996

Journal article in press (manuscript has been accepted for publication)

3. Scadden DT, Schenkein DP, Bernstein Z, et al: Combined immunotoxin and chemotherapy for AIDS-related non-Hodgkin's lymphoma. Cancer (in press)

Supplement

4. Brusamolino E, Orlandi E, Morra E, et al: Analysis of long- term results and prognostic factors among 138 patients with advanced Hodgkin's disease treated with the alternating MOPP/ABVD chemotherapy. Ann Oncol 5:S53-S57, 1994 (suppl 2)

Book with a single author

5. Woodruff R: Symptom Control in Advanced Cancer. Victoria, Australia, Asperula Pty Ltd, 1997, pp 65-69

Book with multiple authors

6. Iverson C, Flanagan A, Fontanarosa PB, et al: American Medical Association Manual of Style (ed 9). Baltimore, MD, Williams & Wilkins, 1998

Chapter in a multiauthored book with editors

7. Seykora JT, Elder DE: Common acquired nevi and dysplastic nevi as precursor lesions and risk markers of melanoma, in Kirkwood JM (ed): Molecular Diagnosis and Treatment of Melanoma. New York, NY, Marcel Dekker, 1998, pp 55-86

Table

Table 1.

Commented [A35]: The journal guidelines mention the following:
Cite tables in the order in which they appear in the text using Arabic numerals. The legend should include any pertinent notes and must include definitions of all abbreviations and acronyms used in the table.
Please place tables at the end of the manuscript.

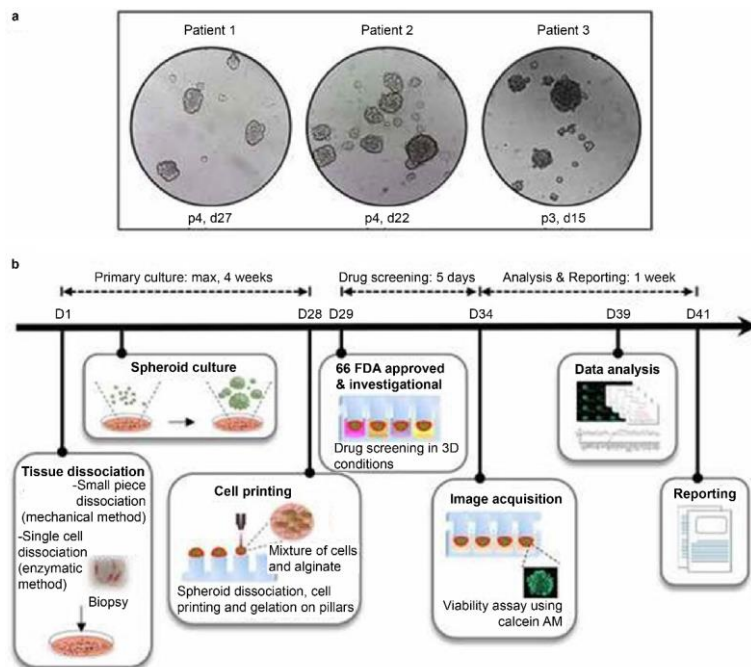


Figure 1. Representation of the micropillar-based drug screening workflow for personalized functional profiling.

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All Kaplan-Meier plots must include risk tables.

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Figure files are converted to PDFs and appended to the end of the manuscript file. If figures are included within the manuscript, they do not need to be uploaded separately. If a figure does not convert correctly, create and submit a PDF instead of the original source file.

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- Create a separate section in the manuscript for the legends of all article types.
- Define all relevant and explanatory information extraneous to the actual figure, including figure part labels, footnotes, abbreviations, acronyms, arrows, and levels of magnification in insets.
- Double space legend.
- Concise as possible.

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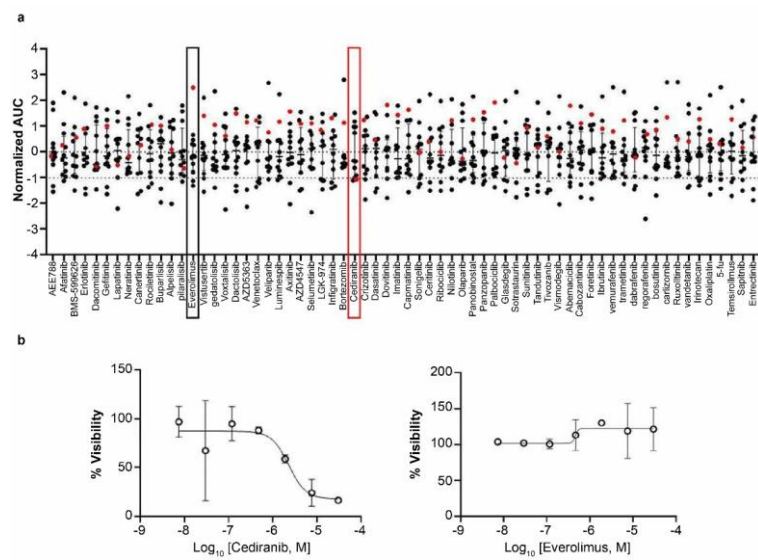


Figure 2. Drug sensitivity profiles of ex-vivo patient-derived spheroids.

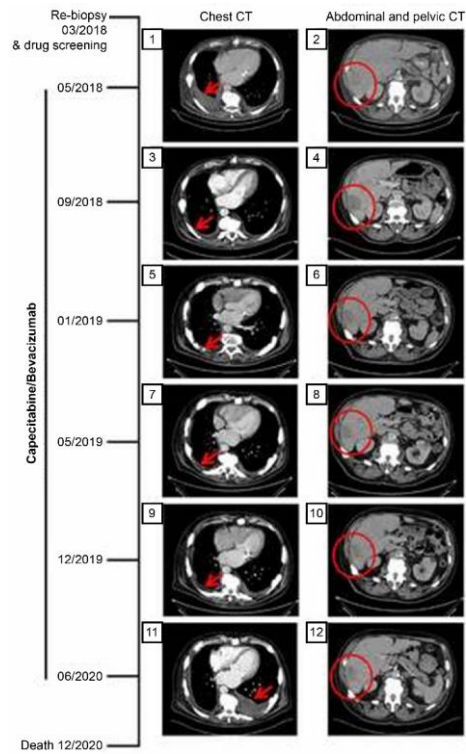


Figure 3. Chest and abdominal/pelvic computed tomography (CT) scans during capecitabine/bevacizumab treatment.

Commented [A38]: In the above figure, we have revised "screen" to "screening".

Source: *Personalized functional profiling using ex-vivo patient-derived spheroids points out the potential of an antiangiogenic treatment in a patient with a metastatic lung atypical carcinoid* by Hichul Kim, Victoria El-Khoury, Nadine Schulte et al., used under CC-BY